

# EUROSTERONE MEETING

## Neuroendocrine regulation of autoimmune/inflammatory disease

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### Abstract

Interactions between the immune and nervous systems play an important role in modulating host susceptibility and resistance to inflammatory disease. Neuroendocrine regulation of inflammatory and immune responses and disease occurs at multiple levels: systemically, through the anti-inflammatory action of glucocorticoids released via hypothalamic–pituitary–adrenal axis stimulation; regionally, through production of glucocorticoids within and sympathetic innervation of immune organs such as the thymus; locally, at sites of inflammation. Estrogens also play an important role in immune modulation, and contribute to the approximately 2- to 10-fold higher incidence of autoimmune/inflammatory diseases seen in females of all mammalian species. During inflammation, cytokines from the periphery activate the central nervous system through multiple routes. This results in stimulation of the hypothalamic–pituitary–adrenal axis which, in turn through the immunosuppressive effects of the glucocorticoids, generally inhibits inflammation. Recent studies indicate that physiological levels of glucocorticoids are immunomodulatory rather than solely immunosuppressive, causing a shift in patterns of cytokine production from

a TH1- to a TH2-type pattern. Interruptions of this loop at any level and through multiple mechanisms, whether genetic, or through surgical or pharmacological interventions, can render an inflammatory resistant host susceptible to inflammatory disease. Over-activation of this axis, as occurs during stress, can also affect severity of infectious disease through the immunosuppressive effects of the glucocorticoids. These interactions have been clearly demonstrated in many animal models, across species, strains and diseases, and are also relevant to human inflammatory, autoimmune and allergic illnesses, including rheumatoid arthritis, systemic lupus erythematosus, Sjogren's syndrome, allergic asthma and atopic skin disease. While many genes and environmental factors contribute to susceptibility and resistance to autoimmune/inflammatory diseases, a full understanding of the molecular effects on immune responses of combinations of neuropeptides, neurohormones and neurotransmitters at all levels has opened up new therapeutic approaches and are essential for the design of future therapies based on such principles.

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### Introduction

Interactions between the immune and nervous systems play an important role in modulating host susceptibility and resistance to inflammatory and infectious diseases (Sternberg 1997a). Many, but not all, of the regulatory effects of the neuroendocrine stress response on immune-mediated diseases occur through the actions of the glucocorticoid hormones on immune cell functions. Neuroendocrine regulation of inflammatory and immune responses and disease occurs at multiple levels: systemically, through the anti-inflammatory action of glucocorticoids released via hypothalamic–pituitary–adrenal axis stimulation; regionally, through local production of glucocorticoids in immune organs such as the thymus; locally, at sites of inflammation, through release of usually pro-inflammatory neuropeptides and neurohormones from

peripheral nerves. Neural regulation of immune responses also occurs regionally through sympathetic nervous system activation and the effects of neurotransmitters such as norepinephrine on immune cells in spleen and lymph nodes. Estrogen also plays an important role in immune modulation, and contributes to the approximately two- to tenfold higher incidence of autoimmune/inflammatory diseases seen in females of all mammalian species (Wilder & Sternberg 1990, Ahmed *et al.* 1999). Many other hormones also regulate immune responses, including prolactin, thyroid hormone, growth hormone, insulin-like growth factor-I (Dorshkind & Horseman 2000) and androgens (Kocar *et al.* 2000). It has been suggested that rather than pure immunoregulators, many of these act as anabolic and stress-modulating hormones in many tissues, including the immune system (Dorshkind & Horseman 2000).

During inflammation, a bi-directional signaling between the immune and central nervous system (CNS) is set into play, in which cytokines from the periphery can initiate the cycle by crossing the blood-brain barrier actively or at leaky sites in the blood-brain barrier, the circumventricular organs. They can also activate cerebral endothelial cell second messenger systems, including nitric oxide synthase and cyclooxygenase, and thus indirectly stimulate CNS functions. In addition, cytokines such as interleukin-1 (IL-1) can signal the central nervous system through stimulation of the vagus nerve and activation of brainstem regions such as the nucleus of the tractus solitarius (Bluthe *et al.* 1994, Watkins *et al.* 1994). Such immune signaling of the CNS causes activation of the hypothalamic-pituitary-adrenal (HPA) axis, with release of corticotropin releasing hormone (CRH) from the hypothalamus and adrenocorticotropin (ACTH) from the pituitary gland which, in turn through the generally immunosuppressive effects of the glucocorticoids released from the adrenals, inhibits inflammation.

Both excess or inadequate stress hormone responses are associated with disease: excess with enhanced susceptibility to infection, and inadequate stress hormone responses with enhanced susceptibility to inflammatory, autoimmune and allergic diseases. Thus, chronic HPA axis over-activation, as occurs during stress, can affect susceptibility to or severity of infectious disease through the immunosuppressive effects of the glucocorticoids (Brown *et al.* 1993, Hermann *et al.* 1993, Glaser & Kiecolt-Glaser 1998). In contrast, blunted HPA axis responses are associated with enhanced susceptibility to autoimmune inflammatory disease (Sternberg 1997b, Jafarian-Tehrani & Sternberg 1999).

### Neuroendocrine regulation of inflammation through actions of the glucocorticoids

#### *Hypothalamic-pituitary-adrenal axis*

The HPA axis modulates inflammation through the generally anti-inflammatory action of glucocorticoids released from the adrenal cortex after HPA axis stimulation. Initially, glucocorticoids were thought to have a mainly immunosuppressive effect (Hench *et al.* 1950, Cupps & Fauci 1982). Indeed, pharmacological doses of glucocorticoids are immunosuppressive at virtually every level of immune and inflammatory responses, including during activation of the innate immune response and in both cellular and humoral acquired immune responses. Thus, glucocorticoids suppress cell adhesion, margination and migration, macrophage activation, antigen presentation, T cell receptor expression, T lymphocyte activation, proliferation, differentiation and mature cell function, including cytotoxicity, and B cell function including antibody production. Recent studies, however, indicate that physiological levels of glucocorticoids are

immunomodulatory rather than solely immunosuppressive, causing a shift of cytokine production from a primarily pro-inflammatory to an anti-inflammatory pattern (Elenkov & Chrousos 1999, Ashwell *et al.* 2000). Such patterns of cytokine production can be categorized as TH1 or TH2, and roughly correspond to cellular or humoral patterns of immune responses respectively. A TH1 pattern of cytokines is characterized by production of largely pro-inflammatory IL-2 and interferon gamma (IFN $\gamma$ ), with a primarily cellular immune response. A TH2 pattern of immunity is characterized by production of IL-4 and IL-10, and is associated with a primarily humoral or antibody response. At physiological concentrations, glucocorticoids inhibit TH1 and enhance TH2 cytokine production (Elenkov & Chrousos 1999, Franchimont *et al.* 2000) thus shifting immune responses from a TH1 to a TH2 pattern.

A functional glucocorticoid receptor is required for glucocorticoids to exert their effects on immune cell function, although some of these effects may not require receptor dimerization and DNA binding of the receptor but may occur primarily through protein-protein interactions (Kellendonk *et al.* 1999).

#### *Intra-thymic glucocorticoid synthesis and T cell selection*

Glucocorticoids also regulate immune function at the level of immune organs such as the thymus. The entire synthetic enzyme machinery for glucocorticoid production has been identified in the thymus, as have glucocorticoid hormones and their precursors (Vacchio & Ashwell 1997). Studies show that these local glucocorticoids play a role in thymic T cell selection. Depending on the concentration of glucocorticoid produced relative to the concentration of antigen, T cells undergo negative or positive selection, that is they may be shunted to the death pathway and die by apoptosis or they may undergo clonal proliferation. Thymic glucocorticoids are produced by thymic cyto-keratin expressing stromal cells, rather than by differentiating T cells. The amount of steroid produced within the thymus is unknown but low compared to amounts present in plasma, and can be detected only in tissue culture, suggesting that the steroid effects on thymic selection are paracrine rather than endocrine in nature. It is not known whether this local glucocorticoid production is under hypothalamic-pituitary control or is independent. Thus, it is not known whether circadian or stress-related variations in ACTH can affect this route of glucocorticoid-related thymic selection.

### Local and regional immune regulation by the nervous system

In addition to regional glucocorticoid synthesis, the nervous system regulates the immune system regionally

through innervation of immune organs by the sympathetic nervous system and at local sites of inflammation through the peripheral nerves. Catecholamines, in particular adrenalin, have been shown to affect TH1 and TH2 patterns of cytokine production profiles (Elenkov & Chrousos 1999).

#### *Regional immune regulation in immune organs*

The spleen, thymus and lymph nodes all show tyrosine hydroxylase and other neuropeptide-expressing positive nerve endings, including calcitonin gene-related peptide (CGRP), in close apposition to lymphoid cells (Tollefson & Bulloch 1990, Bellinger *et al.* 1992, 1997). That such innervation plays an important role in immune cell function is evidenced in studies in which cutting sympathetic innervation to the spleen is associated with blunted splenocyte function. A similar blunting of immune cell function is associated with dying back of splenic sympathetic innervation during aging (Madden *et al.* 1997). In these studies, treatment with the irreversible monoamine oxidase-B (MAO-B) inhibitor, deprenyl, was associated with both regeneration of sympathetic nerve endings and re-constitution of splenocyte activity (ThyagaRajan *et al.* 1998).

#### *Local immune regulation at sites of inflammation*

The nervous system also regulates the immune system locally at sites of inflammation through release of neuropeptides and neurohormones from peripheral nerves. These are usually pro-inflammatory. Thus, substance P and CRH have been shown to be associated with increased histamine release from mast cells, and CRH has been shown to be expressed at sites of inflammation, such as inflamed synovium in experimental rodent inflammatory arthritis and in human rheumatoid arthritis (Agro & Stanisiz 1992, Crofford *et al.* 1992).

### **Effects of excess stress response activation on immune function and disease**

Physiological changes in circulating glucocorticoid levels are associated with shifts in patterns of immune cytokine production. Thus, the moderate elevations in cortisol in the range of 375 nmol/l that occur during the morning circadian rise in cortisol, or stress elevations in the range of 950 nmol/l that occur after exercise on a treadmill have differential effects on production of cytokines such as tumor necrosis factor- $\alpha$  (TNF $\alpha$ ), IL-1 and IL-6. In these studies, whole blood collected from subjects at rest, from subjects treated with different doses of hydrocortisone, or from subjects during and 20 minutes after exercise, was incubated with bacterial lipopolysaccharide (LPS) *ex vivo*, and cytokine production was quantitated in

cultured supernatants (DeRijk *et al.* 1996, 1997). Different cytokines exhibited different degrees of sensitivity to suppression by glucocorticoids under these physiological conditions. At lower evening cortisol levels, the cytokines IL-6, TNF $\alpha$  and IL-1 were equally produced in response to the pro-inflammatory LPS stimulus, while at higher morning levels and with stress levels produced during exercise, relative amounts of these cytokines shifted. At high stress levels of glucocorticoids, IL-6 remained relatively resistant to glucocorticoid suppression while IL-1 and TNF $\alpha$  were significantly suppressed. This exquisite sensitivity of cytokine production and pattern to physiological changes in glucocorticoid levels suggests that under situations of chronic stress there may indeed be profound effects of stress hormones on immune responses and subsequent disease susceptibility.

Indeed, physical and psychological stress have been shown to impair immune responses and increase susceptibility to and severity of infection in animal models (Brown *et al.* 1993, Hermann *et al.* 1993). In these circumstances, the overall effect of glucocorticoids on disease severity and outcome is determined by the relative contribution of host inflammatory responses versus pathogen effects in the pathogenesis of the infectious illness (Brown & Zwilling 1994, Hermann *et al.* 1995, Ruzek *et al.* 1999). For example, in pulmonary viral infections in which the damage is primarily produced by pulmonary inflammatory infiltrates in response to the virus, elevated glucocorticoids and adrenergic responses such as occur during stress actually suppress mononuclear cell infiltrates into lung and draining lymph nodes. In such situations, blocking both glucocorticoid and sympathetic responses reconstitutes mononuclear infiltrates in draining lymph nodes and lungs of influenza-infected mice (Hermann *et al.* 1995). However, in situations such as mycobacteria tuberculosis infection, glucocorticoid treatment accelerated decay of the mycobacteria resistance factor Nramp mRNA, thus contributing to enhanced susceptibility to mycobacterial infection (Brown *et al.* 1997). Such glucocorticoid effects could explain the association of exacerbation or systemic spread of mycobacteria tuberculosis infection during stress or with glucocorticoid treatment. Furthermore, the effects of stress levels of glucocorticoids differs depending on the type of immune exposure (irritant versus pathogen) and the timing of the stress in relation to the exposure. Recent studies in rodent contact dermatitis show that acute stress early on actually enhances rather than suppresses immune responses in contact dermatitis (Dhabhar 1998, Dhabhar *et al.* 2000).

In humans with chronic or sub-acute severe stress, glucocorticoid elevations have been associated with suppression of a variety of aspects of immune responses and increased susceptibility to infectious illnesses. Specific situations studied include chronic care-givers of Alzheimer's patients, students undergoing exam stress, couples undergoing marital conflict or Army Rangers

experiencing extremes of exercise, temperature and nutritional stress during training. These stress situations have been associated with enhanced susceptibility to viral infection, prolonged wound healing or decreased antibody production to vaccination (Kramer *et al.* 1997, Glaser & Kiecolt-Glaser 1998, Rozlog *et al.* 1999, Vedhara *et al.* 1999, Wu *et al.* 1999, Friedl *et al.* 2000).

### Effects of blunted HPA axis responses on immune function and susceptibility to inflammatory disease

The association between a blunted HPA axis and susceptibility to autoimmune/inflammatory disease has been clearly shown in many animal models, across species, strains and diseases, in chickens (Wick *et al.* 1993), mice (Lechner *et al.* 1996) and rats (Sternberg *et al.* 1989*a,b*, Jafarian-Tehrani & Sternberg 1999). Lewis (LEW/N) rats are an inbred strain of rats that are highly susceptible to a wide variety of autoimmune inflammatory diseases, at least in part due to their blunted HPA axis responses. Histocompatible Fischer (F344/N) rats, in contrast, show a hyperactive HPA axis response and are relatively resistant to the same autoimmune inflammatory diseases (Sternberg *et al.* 1989*a,b*). Interruptions of the HPA axis at any level and through multiple mechanisms, whether on a genetic basis, through surgical means such as adrenalectomy or hypophysectomy, or with pharmacological interventions such as treatment with the glucocorticoid receptor antagonist RU 486, can render an inflammatory resistant host susceptible to inflammatory disease (Sternberg 1997*a,b*). In these circumstances, exposure to the pro-inflammatory stimulus results in high mortality exposure from septic shock within 12 hours (Sternberg *et al.* 1989*a*, Mason *et al.* 1990, Edwards *et al.* 1991). Conversely, transplantation of fetal hypothalamic tissue from inflammatory resistant F344/N rats into the third ventricle of inflammatory susceptible LEW/N rats reverses both the LEW/N peripheral inflammatory susceptibility and their blunted HPA axis responses to bacterial LPS (Misiewicz *et al.* 1997).

The association between a blunted HPA axis and autoimmune, inflammatory and allergic diseases is also relevant to humans with illnesses including rheumatoid arthritis (Neeck *et al.* 1990, Cash *et al.* 1992, Chikanza *et al.* 1992, Cutolo *et al.* 1999, Gutierrez *et al.* 1999), systemic lupus erythematosus (Gutierrez *et al.* 1998), Sjogren's syndrome (Johnson *et al.* 1998), allergic asthma and atopic skin disease (Buske-Kirschbaum *et al.* 1997), fibromyalgia (Crofford *et al.* 1994) and chronic fatigue syndrome (Demitrack *et al.* 1991). However, it may not be necessary for the HPA axis defect to occur centrally, at the level of the hypothalamus or pituitary, to predispose to enhanced susceptibility to autoimmune/inflammatory disease. The overall effect of inappropriately low glucocorticoid clamping of immune responses may result not

only from low circulating glucocorticoids, but also from abnormalities of glucocorticoid receptor function. Thus, in experimental animal models in which defective glucocorticoid receptors are expressed, enhancement of innate and acquired immune cell responses have been shown (Kellendonk *et al.* 1999). In humans with quiescent Crohn's disease, peripheral blood mononuclear cells show a decreased sensitivity to glucocorticoid suppression of LPS-induced cytokine production (Franchimont *et al.* 1999).

### Relationship between sympathetic dysregulation and autoimmune disease

Imbalances of sympathetic nervous system responses are also associated with autoimmune inflammatory diseases such as arthritis in both humans and rodents. Human juvenile rheumatoid arthritis has been associated with both abnormal HPA axis and sympathoneuronal responses (Kuis *et al.* 1996). Inflammatory susceptible LEW/N rats show not only blunted HPA axis responsiveness, but also blunted sympathoneuronal activity in response to glucoprivic stress (Goldstein *et al.* 1993). This raises the question of whether in such susceptible hosts multiple factors may account for overall susceptibility to autoimmune/inflammatory disease.

### Genetics of autoimmune/inflammatory disease susceptibility

Both animal and human studies of genetic regulation of autoimmune inflammatory diseases such as inflammatory arthritis indicate that these diseases are polygenic and multigenic – that is, many genes each with a small effect play a role in determining genetic susceptibility to inflammatory disease (Griffiths *et al.* 1999). In genetic linkage and segregation studies in inbred rat strains at least 20 different loci on 15 different chromosomes have been shown to link with inflammatory arthritis (Wilder *et al.* 1999). Two of these loci, one on chromosome 2 and one on chromosome 10, link to a sub-trait of complex inflammatory disease, the innate inflammatory response to carrageenan (Listwak *et al.* 1999). Several genes within the chromosome 10 linkage region regulate both inflammation and the neuroendocrine stress response, including the genes for angiotensin converting enzyme (ACE) and CRH receptor type 1 (CRHR1).

Sequencing of the coding regions of these genes in the parental strains used in this intercross indicated no difference between LEW/N and F344/N rats in the CRHR1, and a point mutation in ACE resulting in a leucine to phenylalanine switch near the N-terminal active site of the enzyme (Jafarian-Tehrani *et al.* 2000). Further analysis revealed that while the non-specific activity of ACE was

elevated in the strain that showed the mutation (F344/N rats), there was no difference between the two strains in specific activity of the enzyme, and treatment of the rats with ACE inhibitors did not affect the inflammatory phenotype.

Thus, while such linkage and segregation studies may be useful in guiding studies towards a particular chromosomal region, even potentially interesting candidate genes showing mutations may alone not account for expression of traits of interest. This may be because susceptibility is related to inheritance of multiple genes and regions of DNA, as has been shown in mouse models of systemic lupus erythematosus (SLE), in which four regions on four different chromosomes have been identified that are additive in determining final expression of disease (Wakeland *et al.* 1999).

Finally, in such complex autoimmune diseases, there is also a large environmental component that determines disease expression. For example, for the innate carrageenan inflammatory trait, the relative contribution of environmental to genetic factors to the variance of the trait was approximately 65% environmental to 35% genetic (Listwak *et al.* 1999).

The multiplicity of genes that regulate inflammatory disease susceptibility suggests that it would be unlikely that any single factor, including any individual transcription factor or nuclear receptor alone, will determine susceptibility to complex autoimmune inflammatory diseases. It may be that in certain individuals or diseases one or more of these factors may contribute to predisposition to the overall susceptibility and severity of disease expression.

### Sex hormones

While this review has focused on the HPA axis and glucocorticoids and their role in susceptibility to inflammatory disease, estrogen is known to play an extremely important role in immune modulation, and contributes to the approximately two- to tenfold higher ratio of most autoimmune diseases in females of all species (Wilder & Sternberg 1990, Ahmed *et al.* 1999, Lahita 1999). Ovariectomy has been shown to reduce, while replacement of estrogen re-constitutes, this differential susceptibility to experimental inflammatory arthritis in rodents (Allen *et al.* 1983). Furthermore, gender, menstrual cycle and estrogen replacement therapy have all been shown to affect HPA axis (Kirschbaum *et al.* 1999) and immune (Zelazowska *et al.* 1997) function in human studies.

### Conclusions and therapeutic implications

A full understanding of the molecular effects on immune responses of combinations of neuropeptides, neurohormones and neurotransmitters at all levels in these

interactions is essential for design of future therapies based on such principles. Thus, pharmaceutical agents developed as neuropeptide antagonists may have an overall anti-inflammatory effect on immune disease if their predominant effect is at a local level at inflammatory sites, or might have a pro-inflammatory effect if their major site of action is blockade of glucocorticoid immunosuppression. Nonetheless, a detailed understanding of these neuroendocrine-immune interactions has opened up new therapeutic approaches to the treatment of autoimmune/inflammatory disease and has led to the recognition that glucocorticoids play a physiological as well as a pharmacological role in regulating inflammation.

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